

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
GRIP:108US

In Re Application Of: **Stephen MORRIS**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/567,071	02/02/2003	N/A	24041	N/A	N/A

**Title: A METHOD OF PROCESSING DATA FOR A SYSTEM MODEL**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);
  - OR**
  - the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
GRIP:108US

In Re Application of: **Stephen MORRIS**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/567,071	02/02/2003	N/A	24041	N/A	N/A

Title: **A METHOD OF PROCESSING DATA FOR A SYSTEM MODEL**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. 50-0822 as described below.

- Charge the amount of \_\_\_\_\_
- Credit any overpayment.
- Charge any additional fee required.

Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

C. Paul Maliszewski  
Registration No. 51,990  
Simpson & Simpson, PLLC  
5555 Main Street  
Williamsville, NY 14221-5406  
Telephone No. 716-626-1564

CPM/KRB

CC:

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on \_\_\_\_\_

June 6, 2006

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature of Person Mailing Correspondence

C. Paul Maliszewski

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

Dated: **June 6, 2006**

**U.S. UTILITY PATENT APPLICATION  
ATTORNEY DOCKET NO. GRIP:108US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
In the Matter of United States Patent Application:

Applicant(s): Stephen MORRIS

Examiner: N/A

Application No.: 10/567,071

Art Unit: N/A

Filed: 02/03/2006

For: A METHOD OF PROCESSING DATA FOR A SYSTEM MODEL

<b>Certificate of Mailing by Express Mail</b> I certify that this Information Disclosure Statement is being deposited on January 6, 2006 with the U.S. Postal Service as "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 and is addressed to Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450	
 C. Paul Maliszewski Express Mail Label No. EV 798723163 US	

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Honorable Sir:

This Information Disclosure Statement is submitted in compliance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98.

Record is attached of published art for consideration during prosecution of the above-identified patent application. No representation is made or intended that a search has been made

**U.S. UTILITY PATENT APPLICATION  
ATTORNEY DOCKET NO. GRIP:108US**

or, if made, was complete, or that no more pertinent art than that listed is available. It is expected that the Patent and Trademark Office will conduct an independent search for relevant prior art.

Unless otherwise indicated, or unless references cited are U.S. patents or patent application publications, a full text copy of the entire reference of published art is attached. Where specifically indicated, an equivalent English language patent or publication is attached in lieu of the translation.

In accordance with the Patent and Trademark Office Notice of August 15, 1980, also enclosed is a completed Form PTO-A820 (Form PTO-1449).

Respectfully submitted,

  
C. Paul Majszewski  
Registration No. 51,990  
CUSTOMER NO. 24041  
Simpson & Simpson, PLLC  
5555 Main Street  
Williamsville, NY 14221-5406  
Telephone No. 716-626-1564

Dated: June 6, 2006

CPM/KRB  
Enclosures (2)

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>GRIP:108US</b>		APPLICATION NO. <b>10/567,071</b>		
				APPLICANT(S) <b>Stephen MORRIS</b>				
				FILING DATE <b>02/03/2006</b>		GROUP ART UNIT <b>N/A</b>		
				<b>U.S. PATENT DOCUMENTS</b>				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,446,575	08/29/1995	Lysakowski, Jr.				
		5,446,885	08/29/1995	Moore et al.				
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		2002/0091908 A1	07/11/2002	Ashida et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		EP1286284 A1	08/15/2001	EP				
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
			Yoder, Joseph W. "Caterpillar, FrameWork for Building Financial Models", 1997					
EXAMINER				DATE CONSIDERED				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								